



Practitioner's Docket No. 701039-052260

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Larry I. Benowitz
Application No.: 09/656,915 Group No.: 1614
Filed: 07 September 2000 Examiner: To be assigned
For: METHODS AND COMPOSITIONS FOR MODULATING AXONAL
OUTGROWTH OF CENTRAL NERVOUS SYSTEM NEURONS

RECEIVED
TECH CENTER 1600/2000
PATENT 14 2002

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a) and 1.10)

I hereby certify that this correspondence:

1. Transmittal Form (1 pg.);
2. Request for Corrected Filing Receipt (2 pp.);
3. COPY – Filing Receipt with Changes Marked in Red Ink;
4. COPY – Power of Attorney; and
5. Return Receipt Postcard.

is on the date shown below being:

MAILING

deposited with the United States Postal Service
and with sufficient postage as first class mail in an
envelope addressed to **Assistant Commissioner
for Patents, Office of Initial Patent Examination,
Customer Service Center, Washington D.C. 20231**

Date: October 18, 2002

FACSIMILE

transmitted by facsimile to the Patent
Trademark Office.

Signature

Melissa Kruggel
Melissa Kruggel
(type or print name of person certifying)



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/656,915

Filing Date

9/7/2002

First Named Inventor

Benowitz, L.

Group Art Unit

1614

Examiner Name

To be assigned

Attorney Docket Number

701039-052260

RECEIVED
TECH CENTER 1600/2900
NOV 14 2002

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/ Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
Request for Corrected Filing Receipt; COPY - Filing Receipt with Requested Change Marked in Red Ink; COPY - Power of Attorney; Certificate of Mailing; Return Receipt Postcard.

Remarks

The Commissioner is authorized to charge fee deficiencies to the NIXON PEABODY LLP deposit account 50-0850.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

David S. Resnick (Reg.No. 34,235) NIXON PEABODY LLP, 101 Federal Street, Boston, MA 02110

Signature

Date

10/18/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Melissa Krugel

Signature

Date

10/18/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Practitioner's Docket No. 701039-052260

PATENT
TECH CENTER 1600/2930

NOV 14 2002

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Larry I. Benowitz
Application No.: 09/656,915 Group No.: 1614
Filed: 07 September 2000 Examiner: To be assigned
For: METHODS AND COMPOSITIONS FOR MODULATING AXONAL
OUTGROWTH OF CENTRAL NERVOUS SYSTEM NEURONS

CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

10/18/02
Date

Melissa Krugel
(type or print name of person mailing paper)

Melissa Krugel
Signature of person mailing paper

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

incorrectly entered
and/or

omitted.

In re application of: Larry I. Benowitz
Application No.: 09/656,915 Group No.: 1614
Filed: 07 September 2000 Examiner: To be assigned
For: METHODS AND COMPOSITIONS FOR MODULATING AXONAL OUTGROWTH OF CENTRAL NERVOUS SYSTEM

Error in

1. [] Applicant's name
 2. [] Applicant's address
 3. [X] Title
 4. [] Filing Date
 5. [] Serial Number
 6. [] Foreign/PCT Application Re:
 7. [] Other
1.
2.
3. METHODS AND COMPOSITIONS FOR
MODULATING AXONAL OUTGROWTH OF
CENTRAL NERVOUS SYSTEM NEURONS
4.
5.
6.
7.

3. *(complete the following applicable item)*

[X] The correction is not due to any error by applicant and no fee is due.

OR

[] At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R.
Section 1.19(h), of \$25.00 is paid as follows:

[] Enclosed is check for \$25.00.
[] Charge Account _____ the sum of \$25.00.

Date: 10/18/02

Respectfully submitted,



David S. Resnick (Reg. No. 34,235)
NIXON PEABODY LLP
101 Federal Street
Boston, MA 02110
Tel. (617) 345-6057
Fax (617) 345-1300

Customer No.: 26248

(Request for Corrected Filing Receipt--page 2 of Error! Bookmark not defined.)



RECEIVED
NOV 14 2002
TECH CENTER 1600
1 of 4

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER OF PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/656,915	09/07/2000	1614	0.00	CMZ-129	2	57	6

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

CONFIRMATION NO. 2385
FILING RECEIPT



OC000000005938175

Date Mailed: 04/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Larry I. Benowitz, Newton Centre, MA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/04/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Methods and compositions for modulating axonal outgrowth of central nervous system neurons

Preliminary Class

514

RECEIVED LAHIVE & COCKFIELD DOCKET DEPT. THERE APR 11 2001
RECEIVED: 5/1/2001 FORWARDED: 5/1/2001

Data entry by : HORNE, CHERYL

Team : OIPE

Date: 04/05/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231